

PRVPATENT- OCH REGISTRERINGSVERKET
PatentavdelningenIntyg
Certificate

REC'D 14 NOV 2000

WIPO

PCT



Härmed intygas att bifogade kopior överensstämmer med de handlingar som ursprungligen ingivits till Patent- och registreringsverket i nedannämnda ansökan.

Ansökan ingavs ursprungligen på engelska.

This is to certify that the annexed is a true copy of the documents as originally filed with the Patent- and Registration Office in connection with the following patent application.

The application was originally filed in English.

(71) Sökande
Applicant (s) AstraZeneca AB, Södertälje SE

(21) Patentansökningsnummer 9903236-9
Patent application number

(86) Ingivningsdatum 1999-09-10
Date of filing

Stockholm, 2000-11-08

För Patent- och registreringsverket
For the Patent- and Registration Office

A. Södervall
Anita Södervall

Avgift
Fee

**PRIORITY
DOCUMENT**
SUBMITTED OR TRANSMITTED IN
COMPLIANCE WITH RULE 17.1(a) OR (b)

METHOD TO OBTAIN MICROPARTICLES

Field of invention

5 The present invention provides a method to obtain microparticles by a spray freezing technique. More specifically the present invention relates to a method by which spherical microparticles, i e microparticles, containing one or several pharmaceutically active substances can be prepared.

Background of the invention

10 The strategy for the pharmaceutical formulation work of a given drug depends on different factors. Ultimately, these factors emanate from 1) the therapeutic needs, 2) the physical chemical properties of the drugs, and 3) the influence from the biological environment
15 where the formulation will release its contents. Thus, both technical and biopharmaceutical considerations will contribute to a successful therapy.

20 However, improved drug administration will also be achieved by so called modified release of the drug, which has been discussed extensively in the literature, e g R L Langer and D L Wise (Eds) "Medical Applications of Controlled Release", vols I, II (1984), CRC Press Inc, Boca Raton.

25 Several approaches to achieve different types of modified release are described in the references above. Of special importance to the present invention is modified release achieved by formulating the active substance with a suitable carrier material in the form of microparticles. Such a formulation then contains multiparticulate discrete delivery units, which each can be coated if necessary with, e g a suitable pH sensitive, semipermeable or other polymeric film. Several advantages can be obtained with this type of formulation
30 compared to more conventional tablets. Thus, the small size of the microparticles assures a

fast and predictable emptying from the stomach, which is of special importance in the presence of food. Further, the particles will spread over a larger area in the whole GI-tract compared to a conventional monolithic (single-unit) formulation. This will result in a safer therapy when the active substance has local irritating side effects. Controllable plasma
5 levels of absorbed drug can also be obtained. The microparticle formulation will also have a longer residence time in the colon which makes 24 hrs extended release formulations possible. From a technological point of view, microparticles are more suitable for coating and handling since a technical fault during the process is fatal for single unit formulations but less so for micropellets. Also, microparticle formulations are more easily manufactured
10 and documented in different doses than standard tablet systems.

Prior Art

An ideal method for the preparation of microparticles where the drug is homogeneously
15 distributed within a polymeric matrix, should be simple, reproducible, rapid and little dependent on the solubility characteristics of the drug. A high product yield and a high degree of retention of the active substance in the final microparticles should also be obtained.

20 Several different techniques are available for making microparticles (< 1 mm), e g spray-drying, extrusion-spheronization, spray-chilling, emulsion solvent evaporation/extraction and coating of nonpareil spheres among others. A recent review was presented by Conti et al STP Pharma Sci 7, 331 (1997) where the technical aspects of coacervation, spray-drying, emulsion solvent extraction, and emulsion solvent evaporation were discussed.

25 However, all existing techniques suffer from one or more drawbacks. Thus, many drugs are sensitive to heat and then will deteriorate which restricts the use of spray-drying or spray-chilling.

In extrusion spheronization and in coating of non-pareils particles it has been difficult to achieve acceptable microparticles in the range of 50 - 400 μm . Pellets made by these methods contain significant amounts of inert excipients. This may make the pelletization of high-dose drugs by these methods a difficult task.

Finally, in emulsification solvent evaporation, an emulsion has to be made and the drug to be incorporated is preferably lipophilic, which restricts the use of drug. Another drawback is the toxicity of the solvent used, usually methylene chloride, which can remain in the microparticles after drying.

However, despite the many different approaches there has not been disclosed techniques that can produce both smaller microparticles but also particles of more uniform sizes. It is important to avoid, e.g. segregation and dose variation during further processing into capsules or tablets. Further, the existing techniques do not incorporate several desirable aspects such as the possibility to produce spherical microparticles of different size-ranges that are homogeneous, have a high drug content and sufficient mechanical strength (to e.g. withstand coating processes) into one single technique.

Spray-freezing technique has been used for the processing and granulation of ceramic materials to achieve homogeneous distribution of additives within granules to be compacted. For the processing of slurries containing silicon-nitride, sintering additives and a binder, spherical free-flowing granules were prepared by spray-freezing and subsequent freeze-drying. The homogeneity of the slurry was retained in the granules and thus in the final sintered product (Nyberg et al, Euro-Ceramics II 1, 447 (1993)). Suspensions of silicon carbide and additives were processed in this way to give granules for compaction (US Patent 4,526,734). The increased homogeneity compared with traditional granulation techniques resulted in better mechanical properties of a whisker reinforced ceramic (EP 0 584 051). The process was also feasible for making homogeneous powder blends for ceramic superconductors (Japanese unexamined patent application no. 59-102433).

Normally pharmaceutical materials are lyophilized by freeze-drying in a bulk process where the solution/suspension to be freeze-dried is placed in vials or on trays in a freeze-drier, where freezing and subsequent sublimation of the dry solvent take place. The dry product is a powder cake.

5

The rapid freezing provided by spray-freezing ensures that no concentration gradients exist in the resulting freeze-dried particles and degradation of biological material is prevented. This approach has been used to get precise metering and dispensing (M J Akers and D J Schmidt, BioPharm 28, (April 1997)); where the frozen particles were in the form of large
10 lumps, 1-9 mm. Freezing of droplets in a moving bath of Freon 12 (-20°C), which conflicts with environmental demands, was used to obtain porous, free-flowing, spherical granules with rapid dissolution; (US patent 3,932,943) as well as making homogenous granules for tableting with precise dosing (US Patent 3,721,725).

15 A process for preparing foamed bioabsorbable polymer particles for surgical use was presented in US Patent 5,102,983. Here, however, the porosity was very large, and the pore sizes in the range of 4 - 10 μ m with a dry content of the solution being sprayed was 1 - 20 wt%.

20 US Patent 5,019,400, discloses the use of a mixture of a biologically active material, a polymer, and a solvent which was sprayed into a non-solvent cooling medium that freeze-dried the droplets with subsequent extraction of the solvent in the droplets during heating. The particles were finally dried in a vacuum-drier. The microparticles formed were porous, and contained 0.01 - 50 % of the active substance. The dry content of the solution sprayed was
25 6 wt%. This process is not preferable since it is an advantage to have one single drying step after freezing and also a higher active substance content than 50 wt% in order to make high dose materials.

US Patent 5,405,616 discloses a method to form droplets by forcing a
30 suspension/solution/emulsion through calibrated jets. The droplets then fall into liquid

nitrogen. Due to low shear forces the size of the pellets formed is large; 0.2 - 12 mm, which then would give a more unsafe dosability than if smaller particles could have been achieved. The smallest particles achieved were 0.8 - 1 mm. Further, to achieve low friability pellets, the drying step after freeze-drying was performed by thawing the pellets before conventional vacuum drying. To achieve these low friability pellets the matrix former is restricted to materials that during thawing will form a gel. The particles obtained contain equal or less than 33 wt% of the active substance.

Particle production utilizing the technique described in US 5,405,616 intuitively is a quite slow process not suitable for large scale industrial pharmaceutical production.

Object of the invention

An object of the present invention is to provide a method connected with the methods for the production of microparticles. More specifically, the method is for the production of homogeneous microparticles and does not have the drawbacks connected to the methods discussed above, eg methods that rely on heat or multiple solvents for drug dissolution, but instead puts no restrictions on the drug to be incorporated. Further, an object is to provide a method for production of microparticles with controllable amounts of incorporated drug in a high-yield process. Also, the invention provides a method to produce homogeneous microparticles with incorporated drug that have low friability that they for instance can endure coating processes. A further object of the invention is to provide a method to produce microparticles that have easily controllable density and strength. A further object is to obtain microparticles with a high content of active substance.

Disclosure of the invention

It has now been found that free-flowing, homogeneous microparticles having low friability can be obtained by spray-freezing a suspension/solution/emulsion of a pharmaceutically

active substance with subsequent freeze-drying of the freeze-dried microparticles. The microparticles are preferably spherical in shape. The porosity of the microparticles achieved is controlled in the process by the dry content of the suspension/solution/emulsion. Apart from the porosity, the brittleness of the microparticles is controlled by the amount of added polymer binder to the suspension/solution/emulsion. In order to obtain low friability particles the dry content of the suspension or solution or emulsion should be high.

Generally the following conditions are valid to obtain low friability microparticles according to the method of the invention;

Low friability microparticles, that can for instance endure coating with a polymeric film, are achieved when the suspension/solution/emulsion is having a dry volume content equal or higher than 15 vol%, preferably up to 60 vol %, and a polymer binder content of 5 weight % or more, preferably 10 weight % or more, and more preferably 15 weight % or more (based upon dry content). A high total pharmaceutically active substance content can be obtained by using the present invention, such as up to 95 weight % or preferably 90 weight % (based upon dry content). The median pore size of the obtained microparticles being preferably equal or less than 1.0 μm . Dry content and dry volume content are weight % and volume %, respectively, of dry material in the suspension/solution/emulsion ($\text{dry}/(\text{dry} + \text{liquid})$), wherein dry material is pharmaceutically active substance + polymer.

According to the present invention homogenous low friability microparticles can be obtained wherein the dry content is from 15 to 60 vol % and polymer binder content is 5 weight % or more giving dry microparticles with a relative density of 15 to 60 % (a porosity of 85 down to 40 vol %). [Relative density; weight of freeze-dried material/volume of freeze-dried material/theoretical density of dry material)].

The content of the pharmaceutically active substance calculated on the weight of the dried microparticles are from 60 to 95 weight %, preferably from 75 to 90 weight %.

The dry content of the liquid medium is defined as the residue after drying at 110°C for 2 h, divided by the total amount before drying. The dry content can be expressed either as weight percent or preferably as volume percent.

5

The success of obtaining low porous microparticles and thus low friable microparticles depends on the volume fraction of dry material and the amount of polymer binder added. The dry content of a suspension/solution/emulsion should thus preferably be expressed in volume fraction although this cannot always be calculated.

10

The microparticles are obtained by spraying a homogeneous suspension/solution/emulsion of the active substance(s) through an atomizer into a vessel with a cold medium with a temperature well below that of the freezing point of the liquid in the droplets. Frozen droplets will then form instantaneously. The structure of the suspension/solution/emulsion is retained in the droplets providing a homogeneous distribution of the substances within the droplets. The frozen liquid is then sublimated by freeze-drying of the frozen droplets where the structure of the droplets is retained due to no migration of substance during drying.

20 The following general steps of the procedure are further exemplified in the Experimental Section below :

a) Preparation of a medium for atomizing. The medium is a suspension, a solution or an emulsion of the active substance. A suspension is prepared by dissolving or dispersing a polymer in a liquid (as defined below), and then adding fine particles of the active substance. A further dispersing agent might also be included to facilitate the dispersion of the active substance. The polymer might then act as a binder between the fine active substance particles in the microparticles and can be either a water soluble or a non-water soluble polymer, according to definitions below.

30

b) Atomizing of the suspension/solution/emulsion into droplets. The suspension/solution/emulsion is fed by a peristaltic pump through a nozzle that could be a pneumatic nozzle, an ultrasonic nozzle, a rotary atomizer or a pressurized nozzle. A typical size distribution of spheres produced by this process can range from 1000 μm down to 10 μm .

c) Freezing of the formed droplets: The atomizer is situated above the cold medium in a cylindrical vessel. If the cold medium is a liquified gas the droplets in the spray formed by the nozzle hit the cold boiling gas before hitting the cold medium that is stirred to get a better wetting of the droplets. Instant freezing takes place and the structure of the homogeneous suspension is retained within the frozen microparticles.

d) Sublimation of the frozen liquid within the droplets: The frozen droplets are transferred from the cold medium to a freeze-drier to sublimate the frozen liquid. This step takes place without any shrinkage of the droplets or migration of excipients (e g polymers) and thus the structure of the suspension/solution/emulsion is retained within the dry microparticles.

The polymer or dispersing agent used for the formulation might be a dry polymer that is partly or fully soluble in the liquid. The polymer or dispersing agent used might also be a dispersion of polymer particles (e g a latex).

The polymer or dispersing agent could be but are not limited to the excipients listed below.

- *cellulose derivatives*, like ethylcellulose, hydroxypropyl methyl cellulose, hydroxyethyl cellulose, hydroxypropyl cellulose, ethyl hydroxyethyl cellulose, carboxymethyl cellulose, cellulose acetate butyrate, cellulose acetate phthalate, methylcellulose, etc

- *other polysaccharides*, like alginate; xanthan; carrageenan; scleroglucan; pullulan; dextran; hyaluronic acid; chitin; chitosan; starch; etc

- *other natural polymers*, like proteins (e g albumin, gelatin, etc); natural rubber ; *gum arabic*; *etc*

- *synthetic polymers*, like acrylates (e g polymethacrylate, poly(hydroxy ethyl methacrylate), poly(methyl methacrylate), poly(hydroxy ethyl methacrylate - co methyl methacrylate), Carbopol® 934, etc); polyamides (e g polyacrylamide, poly(methylen bisacrylamide), etc); polyanhydrides (e g poly(bis carboxyphenoxy)methane, etc); PEO-PPO block-co-polymers (e g poloxamers, etc); polyvinyl chloride; polyvinyl pyrrolidone; polyvinyl acetate; polyvinyl alcohol; polyethylene, polyethylene glycols and co-polymers thereof; polyethylene oxides and co-polymers thereof; polypropylene and co-polymers thereof; polystyrene; polyesters (e g poly(lactid acid), poly(glycolic acid), poly(caprolactone), etc, and co-polymers thereof, and poly(ortho esters), and co-polymers thereof); polycarbonate; cellophane; silicones (e g poly (dimethylsiloxane), etc); polyurethanes; synthetic rubbers (e g styren butadiene rubber, isopropene rubber, etc); etc

- *surfactants*, i e anionic, like sulphated fatty alcohols (e g sodium dodecyl sulphate), sulphated polyoxyethylated alcohols or sulphated oils, etc; cationic, like one from the group of quaternary ammonium and pyridinium cationic surfactants, etc; non-ionic, like one from the group of polysorbates (e g Tween), sorbitan esters (e g Span), polyoxyethylated linear fatty alcohols (e g Brij), polyoxyethylated castor oil (e g Cremophor), polyoxyethylated stearic acid (e g Myrj), etc; etc

- *other substances*, like shellacs; waxes (e g carnauba wax, beeswax, glycowax, castor wax, etc); nylon; stearates (e g glycerol palmitostearate, glyceryl monostearate, glyceryl tristearate, stearyl alcohol, etc); lipids (e g glycerides, phospholipids, etc); paraffin; lignosulphonates; etc.

Also, combinations of these excipients are possible.

The excipients mentioned above could be toughened by introducing a plasticizer. The plasticizer could be but are not limited to the plasticizers mentioned below.

- glycerin, polyethylene glycol, propylene glycol, triethyl citrate, diethyl phthalate, dibutyl
5 phthalate, dibutyl sebacate, sorbitol, triacetin, etc

Also, combinations of these plasticizers are possible.

The liquid used for the preparation of the suspension/solution/emulsion, can be a solvent
10 for the excipients listed above and encompass, e g water or organic solvents with freezing
point well above the freezing point of the medium used for freezing as exemplified below.
Liquids, alone or a mixture of, suitable to make a suspension/solution/emulsion of the
active substance, can then be, but are not limited to:

- water (melting point (mp) 0°C), tertiary butyl alcohol (mp 25.5 °C), cyclohexane (mp
15 +6°C), methylene chloride (mp -95.1 °C), acetone (mp -95.3 °C), methanol (mp -94 °C),
ethanol (mp -117 °C), etc;

The cold medium can typically be a liquified gas, e g liquid nitrogen (boiling point -
196°C), liquid argon (boiling point -186 °C), liquid oxygen (boiling point -183 °C), or a
20 cooled solvent well below the freezing point of the liquid in the suspension.

The mechanical strength of the microparticles is important for whether they withstand
processing with a polymer coating in a fluid bed.

25 Examining the microparticles in a microscope before and after the fluidization in a fluid
bed will give an indication of their mechanical strength.

To achieve a relative measurement of the mechanical strength the pressure where
microparticles started to deform was evaluated. Microparticles within a certain size range

(sieve fraction) was placed as a monolayer onto the surface of a probe with a certain area. Different loads (forces) were applied onto the layer of microparticles during one minute.

Examination of the monolayer of microparticles before and after loading was made in a
5 Scanning Electron Microscope to see at what load the microparticles started to deform. The pressure where the microparticles started to deform was then calculated.

Pharmaceutically active substances suitable to form microparticles of this invention can be but are not limited to peptides, proteins, low molecular organic substances, pro-drugs,
10 antigenes, hormones.

Thus, a microparticle according to the present invention comprises one (or several) pharmaceutically active substances with one (or several) additional non-active substance, which are dispersed within the microsphere.

15

Uncoated particles can be retrieved as they are easily dissolved when they are immersed into a liquid due to their porous structure.

The microparticles achieved can be coated with a polymer to achieve either a time-
20 controlled release, a site-controlled release or a pH-dependent release. Suitable polymers for coating can be, but are not limited to, the same type of polymers as listed above.

The coated microparticles can be put into capsules or incorporated into a tablet compressed by methods known for those skilled in the art.

25

The formulations produced based on the microparticles, coated or uncoated, can be given by different administration routes, such as, but not limited to, the oral, the parenteral, the nasal, the pulmonary, the rectal, the tonsillar, the buccal, the intraocular, the vaginal etc, administration routes. The preferred administrations are the peroral, nasal, pulmonary and
30 rectal routes.

Working examples

The following examples illustrate different aspects of the invention.

5

The size distribution of the obtained microparticles was measured by sieving. By mercury porosimetry measurements the bulk-density and pore-size distributions were determined. To determine the median pore size the pressure range for mercury intrusion corresponded to pore sizes between 0.0005 μm and 10 μm .

10

By subjecting a monolayer of the microparticles to compaction forces their relative strength was measured.

15

EXAMPLE 1: Preparation of microparticles with a high loading of dry content that endure coating in a fluidized bed

A suspension containing the substance was made according to the composition below;

Talc powder (1-2 μm)	90 g
Talc powder (5 - 80 μm)	210 g
HPMC, 6 cps	80 g
Tween 80	6 g
Purified water	750 g

Weight percent of dry content in suspension: 34 (19.2 vol%)

20

First, polysorbate 80 was mixed with the water. The HPMC was then added and dissolved during stirring with subsequent addition of the substance. The suspension was then deagglomerated by high-shear mixing. The deagglomerated suspension was fed through a pneumatic nozzle with a diameter of 1.0 mm at a speed of about 15 ml/min. The pressure

of the atomizer was 1 bar. The spray formed first hit the cold gas above a vessel filled with liquid nitrogen that was stirred to get a better wetting and instantaneous freezing of the droplets. The frozen droplets have a higher density than liquid nitrogen which make them sink to the bottom of the vessel. The frozen droplets/microparticles were then placed in a conventional freeze-drier with a shelf-temperature of -20°C . The primary drying was made stepwise at -20°C to 0°C at 0.1 mbar. The dry microparticles were free-flowing and spherical. Scanning Electron Microscopy showed a homogeneous distribution of the talc powder with pores (0.1 - 2 μm) in between. The bulk density, median pore size and mechanical strength was measured, table 2.

10

Compaction measurements showed that the microparticles obtained had a low friability (high mechanical strength).

Fluidization of the microparticles in Example 1 in a fluidized bed showed by microscopy that the microparticles did not break down. These microparticles started to deform at a pressure of 94 kPa (sieve fraction: 450-630 μm). Final coating with a polymer in a fluidized bed proved that the microparticles could be successfully coated.

15

EXAMPLE 2: Coating of microparticles with a polymeric film

The microparticles from Example 1 were easily handled without falling apart and tough enough to be successfully coated. A fraction of 20 g of the microspheres, 150 - 300 μm , were successfully coated with an enteric polymer to a film thickness of 30 μm , in a fluidized bed.

20

Characterization of obtained pellets obtained in example 1.

Table 1. Size distribution. Sieving (weight fraction%)

Fraction	Example 1
< 100 μm	1
100 - 150 μm	2
150 - 300 μm	22
300 - 450 μm	32
450 - 630 μm	26
630 - 800 μm	12
800 - 1000 μm	4

5

Table 2. Characterization of microparticles

Example no.	Dry content (vol%)	Binder (wt%) based on dry content	Mercury porosity measurements		Mechanical strength	
			Bulk density (g/cm ³)	Pore median size (μm) (measured range: 0.0005- 10 μm)	KPa	Fraction
1	19.2	21	0.47	0.8	94	450 - 630 μm

CLAIMS

1. A method of preparing homogeneous microparticles by use of spray freezing technique characterized by
- 5 - atomizing into droplets a liquid medium having a high dry content and comprising
- a) particles of a pharmaceutically active substance,
 - b) a polymer selected from the group consisting of water soluble polymers and non-water soluble polymers, said polymer being present in at least 5 per cent by weight based upon dry content, and
 - 10 c) a liquid in which the polymer is optionally soluble,
- freezing the formed droplets in a cold medium, and
- sublimating the frozen liquid within the droplets to obtain dry, homogeneous microparticles.
- 15 2. A method according to claim 1, wherein the polymer of the liquid medium constitutes 10 weight % or more of the dry content.
3. A method according to claim 1, wherein the polymer of the liquid medium constitutes 15 weight % or more of the dry content.
- 20 4. A method according to claim 1 wherein the dry content of the liquid medium is from 15 to 60 vol %.
5. A method according to claim 1, wherein the dry volume content of the liquid medium is from 15 to 60 vol % and thus giving dry microparticles with a relative density of 15 to 60 %.
- 25 6. A method according to claim 1, wherein the dry volume content of the liquid medium is from 15 to 60 v l % and thus giving dry microparticles with a porosity of 85 down to 40 vol %.
- 30

7. A method according to claim 1 wherein the liquid medium to be spray-frozen is a suspension.

5 8. A method according to claim 1 wherein the liquid medium to be spray-frozen is a solution.

9. A method according to claim 1 wherein the liquid medium to be spray-frozen is a emulsion.

10

10. A method according to any of the preceding claims wherein the content of the pharmaceutically active substance is from 60 to 95 weight %, preferably 75 to 90 weight %, of the weight of the dried microparticles.

15 11. A method according to any of the preceding claims wherein the dry content of the medium is from 15 to 60 vol% and with a content of the pharmaceutically active substance being from 60 to 95 weight % of the dried microparticles.

20 12. A method according to any of the preceding claims wherein the polymer is selected from the group consisting of a cellulose derivative, a polysaccharide, a natural polymer, a synthetic polymer, a surfactant and mixtures thereof.

25 13. A method according to any of the preceding claims wherein the liquid in which the polymer is soluble is selected from the group consisting of water, tertiary butyl alcohol, cyclohexane, methylene chloride, methanol, ethanol and mixtures thereof.

14. A method according to any of the preceding claims wherein the cold medium is selected from the group consisting of liquid nitrogen, liquid argon, liquid oxygen or a cooled solvent well below the freezing point of the liquid in the suspension.

30

15. A method according to any of the preceeding claims wherein the sublimation is performed by freeze-drying.

16. A method according to any of the preceeding claims wherein the size distribution of the prepared microparticles are in the range from 10 to 1000 μm .

17. Microparticles when prepared according to the method of any of claims 1-16.

18. The microparticles according to claim 17 further comprising a polymeric film coating.

1
2
3
4
5
6
7
8
9
10

ABSTRACT

A method for the preparation of homogeneous microparticles containing a pharmaceutically active substance by a spray freezing technique characterized in that the medium to be atomized into droplets is having a high dry content and comprising besides the active substance also a polymer and a liquid in which the polymer is soluble.